

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Tobias W. Walker, Douglas J. McKnight, Paul L. Roselle, Mary Tilton, Jay R. Ahling

Application No./Patent No.: 6,825,909 Filed/Issue Date: November 30, 2004

Entitled: MICRO LIQUID CRYSTAL DISPLAYS

Compound Photonics U.S. Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Tobias W. Walker, Douglas J. McKnight, Paul L. Roselle, Mary Tilton, Jay R. Ahling To: Colorado Microdisplay, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 015737, Frame 0908, or for which a copy thereof is attached.

2. From: Zight Corporation To: Three-Five Systems, Incorporated

The document was recorded in the United States Patent and Trademark Office at Reel 013248, Frame 0946, or for which a copy thereof is attached.

3. From: Colorado Microdisplay, Inc. To: Zight Corporation

The document was recorded in the United States Patent and Trademark Office at Reel 015756, Frame 0083, or for which a copy thereof is attached.

4. From: Zight Corporation To: Sequel Limited Partnership I, Sequel Euro Limited Partnership, Enertech Capital Partners II L.P., ECP II Interfund L.P., Granite Ventures, LLC, TI Ventures III, L.P., 3I Corporation, Robert Epstein, Kenney Roberts

The document was recorded in the United States Patent and Trademark Office at Reel 012322, Frame 0103, or for which a copy thereof is attached.

5. From: Sequel Limited Partnership I, Sequel Euro Limited Partnership, Enertech Capital Partners II L.P., ECP II Interfund L.P., Granite Ventures, LLC, TI Ventures III, L.P., 3I Corporation, Robert Epstein, Kenney Roberts To: Zight Corporation

The document was recorded in the United States Patent and Trademark Office at Reel 012562, Frame 0300, or for which a copy thereof is attached.

6. From: Three-Five Systems, Inc. To: Brilliant Corporation

The document was recorded in the United States Patent and Trademark Office at Reel 015124, Frame 0045, or for which a copy thereof is attached.

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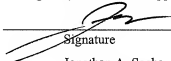
STATEMENT UNDER 37 CFR 3.73(b) (Cont)

7. From: Brilliant Corporation To: Regenmacher Ltd.
The document was recorded in the United States Patent and Trademark Office at Reel 016470, Frame 0120, or for which a copy thereof is attached.
8. From: Syntax-Brilliant Corporation To: Silver Point Finance, LLC, As Collateral Agent
The document was recorded in the United States Patent and Trademark Office at Reel 020072, Frame 0232, or for which a copy thereof is attached.
9. From: Vivitar Corporation To: Silver Point Finance, LLC, As Collateral Agent
The document was recorded in the United States Patent and Trademark Office at Reel 020072, Frame 0247, or for which a copy thereof is attached.
10. From: Regenmacher Ltd. To: Brilliant Corporation (N/K/A Syntax-Brilliant Corp)
The document was recorded in the United States Patent and Trademark Office at Reel 020261, Frame 0572, or for which a copy thereof is attached.
11. From: Syntax-Brilliant Corporation To: Compound Photonics U.S. Corporation
The document was recorded in the United States Patent and Trademark Office at Reel 020609, Frame 0406, or for which a copy thereof is attached.
12. From: Vivitar Corporation To: Silver Point Finance, LLC, As Collateral Agent
The document was recorded in the United States Patent and Trademark Office at Reel 021230, Frame 0062, or for which a copy thereof is attached.

☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature
Jonathan A. Sachs

Printed or Typed Name
President, Compound Photonics U.S. Corporation

Title

5/11/07

Date

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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